New Frontier Advisors LLC

Summary of Business Continuity Plan

November 2022

New Frontier Advisors has developed a detailed Business Continuity Plan for responding to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur, while optimizing preparation. The details involve specific technologies and individual assignments, which we keep private. With that in mind, we are providing you with this summary of our business continuity plan.

Contacting Us – Resuming communications is the first priority of our Business Continuity Plan, so normal communications should work almost immediately. If after a significant business disruption you cannot contact us as you usually do at (617) 482-1433, you should go to our website at <u>www.newfrontieradvisors.com</u> where important information will be posted and updated as necessary. You always have access to your accounts through your custodian.

Our Business Continuity Plan - We plan to quickly recover and resume business operations after any significant business disruption. Meeting our fiduciary requirements of monitoring and potentially rebalancing investment portfolios managed by New Frontier in the face of a disruption is a top priority. We will safeguard our employees and property, make a financial and operational assessment, and protect the firm's books and records. Our business continuity plan addresses: data backup and recovery; all mission critical systems; financial and operational assessments; al ternative communications with clients, employees, and regulators; alternate physical location of employees; critical supplier, contractor, bank and counter-party impact; and regulatory reporting. We test our business continuity plan annually.

Varying Disruptions - Significant business disruptions can vary in their scope. It can be as small as impacting only our firm, a single building housing our firm, the business district where our firm is located, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only our firm or a building housing our firm, our operations will continue with service being provided from home offices. Our firm operates within a digital environment which is transferable to home or temporary office locations, if needed. In a disruption affecting our business district, city, or region, we will transfer our operations to a site outside of the affected area. This includes both home offices or if need be, a temporary office location until such time as we may return to our office, or a new office location is acquired. In either situation, we plan to continue in business, and notify you through our web site at www.newfrontieradvisors.com or on our main number on how to contact us. If the significant business disruption is so severe that it prevents us from remaining in business, we will notify our clients promptly.

For more information - If you have questions about our business continuity planning, you can contact us at (617) 482-1433 or speak with your primary investment adviser.